



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Kevin I. Bertness

Appln. No. : 10/046,659

Filed : October 29, 2001

For : ENERGY MANAGEMENT SYSTEM  
FOR AUTOMOTIVE VEHICLE

Docket No.: C382.12-0105

Group Art Unit: 2836

Examiner: G. Toatley

**INFORMATION DISCLOSURE STATEMENT**

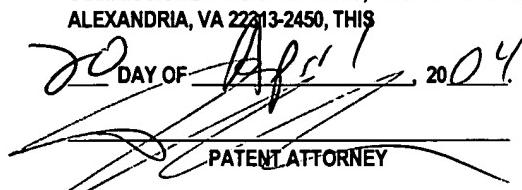
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
COMMISSIONER FOR PATENTS, P.O. BOX 1450,  
ALEXANDRIA, VA 22313-2450, THIS

20

DAY OF

APR 1, 2004

  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1.  with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

2.  after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

A.  a statement as specified in 37 C.F.R. § 1.97(e).

B.  the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement

under 37 C.F.R. § 1.97(c).

3. \_\_\_\_\_ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

**STATEMENT**

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

\_\_\_\_\_ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

\_\_\_\_\_ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

**METHOD OF PAYMENT**

\_\_\_\_\_ No fee is required.

\_\_\_\_\_ Attached is a check in the amount of \$ \_\_\_\_\_.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By:

Judson K. Champlin, Reg. No. 34,797  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222  
Fax: (612) 334-3312

JKC:lrs

<b>FORM PTO-1449</b>		Atty. Docket No.: <b>C382.12-0105</b>	Appl. No.: <b>10/046,659</b>
<p style="text-align: center;">LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> <p style="text-align: right;"><i>O I P E</i> APR 23 2004 JC19 PATENT &amp; TRADEMARK OFFICE</p>		First Named Inventor:	
		<b>Kevin I. Bertness</b>	
		Filing Date <b>October 29, 2001</b>	Group Art: <b>2836</b>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	2002/0010558	1/2002	Bertness et al.	702	63	
AB	2002/0176010	11/2002	Wallach et al.	348	229.1	
AC	2003/0184306	10/2003	Bertness et al.	324	426	
AD	2003/0025481	2/2003	Bertness	320	155	
AE	2004/0000891	1/2004	Raichle et al.	320	107	
AF	2,000,665	5/1935	Neal			
AG	3,750,011	7/1973	Kreps	324	29.5	
AH	3,652,341	3/1972	Halsall et al.	136	176	
AI	3,796,124	3/1974	Crosa	85	36	
AJ	4,023,882	5/1977	Pettersson	339	96	
AK	4,056,764	11/1977	Endo et al.	320	3	
AL	4,160,916	7/1979	Papsideris	307	10	
AM	4,280,457	7/1981	Bloxham	123	198	
AN	4,620,767	11/1986	Woolf	339	255	
AO	4,933,845	6/1990	Hayes	364	200	
AQ	4,934,957	6/1990	Bellusci	439	504	
AQ	5,108,320	4/1992	Kimber	439	883	
AR	5,159,272	10/1992	Rao et al.	324	429	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AS						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AT	
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EXAMINER:	DATE CONSIDERED:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		Atty. Docket No.: C382.12-0105	Appl. No.: 10/046,659
<p style="text-align: center;">LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> 		First Named Inventor:	
		Kevin I. Bertness	
		Filing Date October 29, 2001	Group Art: 2836

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BA	5,313,152	5/1994	Wozniak et al.	320	6	
BB	5,323,337	6/1994	Wilson et al.	364	574	
BC	5,325,041	6/1994	Briggs	320	44	
BD	5,412,308	5/1995	Brown	323	267	
BE	5,453,027	9/1995	Buell et al.	439	433	
BF	5,745,044	4/1998	Hyatt, Jr. et al.	340	825.31	
BG	5,747,967	5/1998	Muljadi et al.	320	39	
BH	5,772,468	6/1998	Kowalski et al.	439	506	
BI	5,780,980	7/1998	Naito	318	139	
BJ	5,850,113	12/1998	Weimer et al.	307	125	
BK	5,865,638	2/1999	Trafton	439	288	
BL	5,978,805	11/1999	Carson	707	10	
BM	5,982,138	11/1999	Krieger	320	105	
BN	6,005,759	12/1999	Hart et al.	361	66	
BO	6,031,368	2/2000	Klippel et al.	324	133	
BP	6,046,514	4/2000	Rouillard et al.	307	77	
BQ	6,064,372	5/2000	Kahkoska	345	173	
BR	6,081,109	6/2000	Seymour et al.	324	127	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BT	
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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
CA	6,158,000	12/2000	Collins	713	1	
CB	6,211,651	4/2001	Nemoto	320	133	
CC	6,222,342	4/2001	Eggert et al.	320	105	
CD	6,238,253	5/2001	Qualls	439	759	
CE	6,242,887	6/2001	Burke	320	104	
CF	6,259,170	7/2001	Limoge et al.	307	10.8	
CG	6,356,042	3/2002	Kahlon et al.	318	138	
CH	6,526,361	2/2003	Jones et al.	702	63	
CI	6,531,848	3/2003	Chitsazan et al.	320	153	
CJ	6,600,815	7/2003	Walding	379	93.04	
CK	6,618,644	9/2003	Bean	700	231	
CL	6,628,011	9/2003	Droppo et al.	307	43	
CM	6,667,624	12/2003	Raichle et al.	324	522	
CN	6,679,212	1/2004	Kelling	123	179.28	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	CO	JP 11103503 A	4/1999	Japan			X (Abstract)
	CP	WO 01/59443	2/9/01	WIPO			X
	CQ	GB 2 246 916 A	10/8/90	Great Britian			

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CR	"Notification of Transmittal of The International Search Report or the Declaration", PCT/US03/07837.
CS	"Office Action from Serial No. 10/271,842 dated February 11, 2004.

EXAMINER:	DATE CONSIDERED:
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